Distribution of surface-associated genes displays conserved lineage-backbones with strain-

specific adaptations

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3 4 A variety of cell wall or membrane anchored genes are important for the survival of strains of 5 species Listeria monocytogenes by mediating interaction with the environment and the infected 6 host. The following analysis will highlight relevant patterns of presence or absence of surface-7 associated genes and suggest evolutionary explanations. 8 The first class to be discussed are genes containing P60 and LysM domains that were described 9 to be involved in the invasion of host cells (P60, P45), degradation of the bacterial cell wall and 10 various enzymatic or binding activities [1]. These show little variation among the studied 11 chromosomes, bearing 3-4 and 5-6 genes, respectively. 12 Known functions of lipoproteins include substrate binding for ABC transporter systems and 13 adhesion, deployed to promote survival in the mouse model (OppA) and to mediate entry into 14 eukaryotic cells (LpeA) [2-4]. Studied strains contain a minimum of 61 lipoprotein coding genes 15 (4e SLCC2378) and a maximum of 73 (1/2a 08-5578) including 57 mutually conserved genes. 16 Those showing a differential distribution rarely correlate with lineages or serotypes, but display 17 strain-specific patterns implying relatively recent insertions. Non-core lipoproteins were frequently located in chromosomal hotspots of horizontal gene transfer and found inside or 18 19 adjacent to prophage insertions. Bacteriophages employ lipoproteins for various tasks including 20 adhesion, membrane fusion and receptor binding [5,6]. We also identified an IS3-like transposon 21 (lmo0459-64) containing a putative lipoprotein (lmo0460) with weak RGD/LRR repeats, which

was overrepresented in epidemic lineage I (LMOf2365 2051-7), suggesting further research

considering a relation to virulence or pathogenicity.

24 A putative transposition moved two adjacent lipoproteins (lmo1264-5), conserved at the same 25 relative chromosomal position in lineages I and II, by ca. 600kb in lineage III where they may 26 have reinserted in a reversed position (LMOSLCC2376_1746-5). An ancestor of lineage III 27 putatively harbored both genes at the same location as lineages I and II, since a C-terminal stretch 28 of lmo1265(56 bp, 86% identity) can still be found here. A BLASTP search directed versus the 29 NCBI nr database revealed that only chromosomes of genus Listeria contain homologues of these 30 genes, indicating that this sequence was either transposed inside the same chromosome or deleted 31 and laterally reinserted from another *Listeria* strain. Lineages I and II contain internalin C 32 (lmo1786) at the same locus which displays the reinserted lipoproteins in lineage III. Since no 33 remnant sequence of inlC can be found in lineage III, it is unclear if this gene existed in a 34 common ancestor of L. monocytogenes. But considering the evolutionary trend towards reduction 35 of virulence as illustrated by lineage III, we propose that *inlC* was commonly conserved, leading 36 to an evolutionarily neutral or negative region for lineage III, which resulted in the deletion of 37 *inlC* and the putative transposition of the two lipoproteins to this locus. 38 We furthermore analyzed the distribution of genes anchored to the bacterial surface by interaction 39 with lipoteichoic acids using a GW-motif, most of which were previously implicated in the 40 adhesion to or invasion of eukaryotic cells [7,8]. Compared strains contain 9-13 genes showing a 41 GW-domain including seven core genes. Among the differentially distributed genes are those 42 coding for Auto (lmo1076) and Ami (lmo2558) that were found to be absent or mutated in strains 43 of serogroup 4, respectively. We also identified a module of ca. 2kb in all strains of serogroup 4 44 of lineage I, which inserted between homologues of genes lmo0012-lmo0013 in reference strain 45 EGD-e. It bears 2-3 GW-domain genes that display varying sizes and high partial homologies, 46 indicating an ongoing deleterious process and putatively dysfunctional proteins. All strains of 47 epidemic lineage I show another exclusive gene (LMOf2365_1974) with both LPXTG and GW

- domains which may become a future research target considering cell wall anchored modulators of
- 49 virulence or pathogenicity.
- The final type of surface-associated genes to be discussed in this study are internalins implied in
- cell adhesion and invasion of host cells [9]. While all internalins contain a leucine-rich repeat
- 52 (LRR) domain indicated in protein-protein interaction, the majority furthermore show a signal
- peptide that tags the respective protein for the secretory pathway [10]. We found that all but four
- 54 putative internalin gene clusters contain at least one homologue with an identifiable signal
- 55 peptide, three-fourths include an LPXTG anchor motif (34/42) and six are putatively secreted.
- 56 GW or WxL anchors were only identified inside one internalin each. We additionally searched
- for presence of an InlB B-repeat (68bp consensus, identity > 50%, coverage > 65%) which was
- proposed to bind a further host cell receptor [11]. This sequence seems to be a hallmark of
- 59 previously described virulence-associated internalins, as only *inlI*, *inlC* and *inlJ* do not contain at
- least one copy. An InlB B-repeat was found in 15 clusters, thus increasing the probability of the
- 61 respective genes to be involved in host-pathogen interaction.
- The distribution of candidate internalins shows a relatively homogeneous pattern for strains of
- 63 lineage I, while lineage II and III are more heterogeneous. Only four of 42 homology clusters are
- 64 mutually conserved, confirming previous observations of diversity [12]. On the other hand, we
- could only identify nine genes that were not mutually conserved inside at least one lineage using
- relaxed homology criteria (identity >50%, coverage >40%), indicating that early lineage-
- ancestors already contained most of the internal in prototypes, that were adapted for specific
- 68 needs in the following evolutionary period as previously described.
- A number of known virulence-associated internalins were absent in a subset of strains, putatively
- resulting in a reduced number of infectable cell types (lineage III: inlC and inlF, 4a L99: inlGHE,
- 71 inlI and inlJ, 3c SLCC2479: inlA, 4b F2365: inlB). Interestingly, we identified two different

- versions of *inlF* and *inlJ* in lineage I compared to lineages II/III, putatively resulting in different
- adhesion properties.
- 74 There are two described versions of an internal in cluster (*lmo0263-4*) putatively resulting from
- recombination events in an early ancestor [9]. Strains 1/2a EGD-e, 3c SLCC2479, and 1/2c
- SLCC2372 contain the *inlGHE* module, while all other strains display the *inlC2DE* variant [13].
- An exception to this are strain 4a L99 that has lost the complete *inlGHE* cluster and 4d
- ATCC19117, which contains a premature stop-codon in *inlD*. Both variants contain genes
- 79 putatively regulated by sigB and thus may contribute to bacterial survival following stress [14].
- 80 We detected a 3b SLCC2540-specific module including four putative internal in genes containing
- both LRR and LPXTG motifs adjacent to multiple IS3 family transposases
- 82 (LMOSLCC2540_2112-9). The internalin genes and intermittently located transposases display
- partial homologies, respectively (data not shown) indicating a common evolutionary background
- 84 that may include duplication and/or recombination events.
- 85 Furthermore, a putative ancient double duplication or recombination of LPXTG-anchored
- 86 internal in *lmo1290* was identified, which is presumed to have happened inside ancestral strains of
- 87 lineages. Lineage I contains only homologues of gene *lmo1290*, lineage II harbors an additional
- weak homologue *lmo1289* (43% amino acid identity, 99% coverage), while lineage III shows two
- more variants of this gene (*lmo4a_1344-5*) with a comparable degree of similarity. A comparison
- 90 to non-pathogenic species of genus *Listeria* showed that only *L. innocua* Clip11262 contained a
- 91 homologue of *lmo1289* (*lin1328*) while *L. welshimeri* SLCC5334 and *L. seeligeri* SLCC3954
- 92 displayed no internal in-like gene at this relative position, indicating a possible association to
- pathogenicity. No impact on phenotype has been described for these genes yet.

- Only one internal in was found to be specific and mutually conserved for lineage I
- 95 (LMOf2365_0805), apart from a central deletion of 200 bp in the homologue of strain 7
- 96 SLCC2482, indicating this gene for further research regarding virulence.
- Taken together, we found that most surface-associated genes are either mutually conserved or
- 98 were likely present in an early ancestor of a lineage, implying a fixed core-functionality that is
- 99 rarely complemented by strain-specific additions. Of all surveyed classes, internalins seemed to
- be the most diverse, driven by duplication, recombination and transposition. We furthermore
- identified a large number of novel surface-associated genes, including their distribution among all
- serotypes of species *L. monocytogenes*, opening a pool of candidates for future analysis
- 103 considering virulence and pathogenicity.

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